

# CPWF Project Inception Report

Project Title: On Integrated Rainwater Management  
Strategies- Technologies, Institutions  
and Policies

Project Number: NL2 under Nile Basin Development  
Challenge (WBS 075-01-01-MUL)

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For submission to the



Date: October 15, 2010

**CPWF Project Inception Report**  
(max. 5 pages, excluding Annexes)

Notes:

- Throughout this template, there are links to relevant sections in the on-line [CPWF Handbook](#) and [CPWF M&E Guide](#) that provide guidance, templates and examples. *The CPWF M&E guide is the reference document for filling out the report*
- Submission should be by e-mail attachment or on-line using Google Docs. *If you use the latter send the document URL to the Basin Leader, and make sure that it is shared so that anyone who has the link can view the document, and Annexes.*

## 1. Please describe and elaborate on the following:

### 1.1 Explanations of any changes made to the Project Workbook (i.e., Outcome Logic Model, Gantt Chart, Budget)

The existing Project Workbook has been significantly improved to take care of the suggestions from CPWF MT and the suggestions from the project partners during the Planning Workshops and other discussions. OLM Charts, Gantt charts have been completed in all aspects. Only Lead researchers have been highlighted but the outputs shall be based on the contributions from the respective team members.

### 1.2 Key inception insights on:

- Bottlenecks (and how they were/ are being addressed)
  - i. **Learning from the past experiences:** Ethiopia has a vast body of knowledge on several aspects of the Rainwater management and to draw good lessons and avoid 're-inventing the wheel' was a bottleneck for timely launching of the project. However, the good support provided by the *Report of N1 project* and its detailed discussion and meetings with the peers helped to satisfactorily resolve this issue. Further meetings with the actors and knowledge-pools shall be continued.
  - ii. **Data limitations:** Ethiopian institutions have very limited examples and experiences of properly monitored watersheds/ landscapes for the required bio-physical and socio-economic characteristics -essential for modelling and up-scaling and little is available in public domain. The project is addressing the issue in a 2-pronged approach: i) actual and participatory monitoring of the selected landscapes, ii) entering into good collaborations with the appropriate institutions.
- Major achievements (and how they contribute to the project and BDC)
  - i. **Shared understanding of the Project Goals, Workplan, Activities and Milestones:** This was the first important step essential for kick-starting the project, harvest synergistic benefits and avoid duplication of efforts. This was achieved through well designed Inception Workshop ( ), Launching Workshop (September 29, 2010), and Project Planning Workshops (September 28, 30, October 1, 2010). Sharing of this information/ documents through Wikispaces (<http://nilebdc.wikispaces.com/Nile+2>) and other tools helped everybody to contribute, revise Project Workbook and have a shared understanding of the project components, discussions, and reports and the partners.
  - ii. **Selection of Project Sites:** After considerable deliberations with the project partners, stakeholders, peers, uptake agents and a set of criterion for representation of the major agro-ecologies and socio-economic domains, three *woredas* (meso-scale analysis) and watersheds (micro-level analysis) in the Ethiopian highlands have been selected. These include Fogera *woreda* ( Mizewa catchment), Jeldu *woreda* (Meja catchment ), Diga *woreda* (Dapo catchment). Each of the micro-catchment is about 30 km<sup>2</sup> in area and represents varied set of challenges and opportunities. A detailed description of selection criterion, site characteristics and the related documents are available at <http://nilebdc.wikispaces.com/documents> .

- iii. **Diagnostic Assessment of Rainwater Management Innovation Capacity:** Based on the discussions among the researchers from ODI, and ILRI and IWMI Social Scientists, a draft diagnostic tool has been designed and placed for discussion. After a specialised training for the researchers and pre-testing of the instrument, the actual primary data shall be collected during November/ December-2010.
- **Lessons learned**
    - The time is most ripe for suggesting some innovative changes in national rainwater management policy and programs as the government (s) is planning major initiatives.
    - There are real benefits when all the projects (N1,2,3,4,5) work in perfect partnerships with a good internal communication/ monitoring system and sharing the strengths and skills of each partner/ organisation.

### 1.3 Partnerships entered into and their relevance to project goals:

- i. Formal partnership entered with **International Livestock Research Institute (ILRI)**- the institute is responsible for the livestock component and shall contribute greatly to the setting up of Innovation Platforms , and Learning and Practice Alliances.
- ii. Formal partnership entered with **Overseas Development Institute (ODI, UK)** to provide support in institution and policy level analysis under the project
- iii. Formal partnership entered with **World Agro-forestry Centre** to provide support for analysis of the agro-forestry component and polyscape analysis of the identified landscapes.
- iv. Establishment of a number of national partnerships are under advanced stage of agreements with Ethiopian Federal *Ministry of Water Resources; Ministry of Agriculture and Rural Development ( MoARD, SLM Unit); National Meteorological Services Agency (NMSA); national Universities at Bahir Dar, Ambo and Wollega* and *Ethiopian Rainwater Harvesting Association (ERHA)*. Each of these partners is important for providing the local context and perspective to all our activities at the project sites, aligning the program to national priorities, local capacity building and providing support in implementation and uptake.

### 1.4 Anything else you think is important to report (we encourage sharing of information describing activities that have both successful and negative impacts on your work in order to learn from both)

i. **Capacity building:** The project observed in the early stages that there is an urgent need for building the local capacity both in technical and socio-economic and policy aspects of the rainwater management systems. There is also a good potential for cross-learning from other developing countries such as India. One professional from ERHA and one junior researcher from IWMI are deputed for a 10-day specialised International Rainwater Harvesting Alliance sponsored training program in India on *"Rainwater Harvesting in the Heart of the Micro Farming and Food Security in Watershed Development Programmes"*, October18- 28, 2010. Similarly, in all the MoUs with national partners (Universities, Govt. departments, Ngos etc.), a special component on capacity building has been included.

ii. **Joint Field Missions:** Wherever possible, organisation of field visits jointly with researchers from other Nile Basin projects and the national partners has been very useful and may be encouraged.

## 2. Status of compliance with Standard Clause 3.03 and changes requested at time of contracting (*please provide as attachments to your report*):

Required documents from Standard Clause 3.03	
Document Description	Status/ Reference*
Updated Project Workbook based on interactions with stakeholders and changes requested at time of contracting	Yes
<a href="#">Draft outcome logic model</a>	Yes
<a href="#">Indicator and milestone plan</a> developed for the duration of the project	Yes
Gantt Chart	Yes
Budget	Yes
Plan for <a href="#">baselining</a> of outcome targets	Yes
Signed <a href="#">MOUs</a> corresponding to milestone plan	Yes
<a href="#">Third Party Intellectual asset audit</a>	Agreed
Any other documentation agreed to between the Project Leader and the CPWF.	N.A.

\* Please provide the corresponding URL/ link to the document in question

### 2.1 Needs from the CPWF - Is there anything that the CPWF can help you with at this point in the project? (e.g., from Basin Leader, Coordination Project, CPWF KM team, capacity building)

i. Basin Leader/ Coordination Project: a) Refinement and monitoring of the Monitoring & Evaluation Plan for the Project, b) Putting in place effective MoUs with the important national Project partners, c) Wider showcasing of the project activities/ outputs with the high-end stakeholders through policy dialogues, roundtables and one-on-one meetings.

ii. CPWF KM: a) Design of a good and effective internal communication system- NBDC wikispaces is working and we may need to design it more innovatively as the work expands in future, b) design and launch of an impressive website and data storage and retrieval system for the project c) Some attractive and informative project dissemination products.

iii. Capacity building: a) Capacity building of the Project contact person in the development and regular update of the Project M&E system/ reports.

### 3. Statement of Receipts and Expenditures

#### 3.1 Cash flow analysis of project to date

Time	2010	2010	2011	2011	2012	2012	2013	2013	Final Audit
	7	12	18	24	30	36	42	48	
(thousands of \$US)	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$
Contracted budget	721,059								
<b>Value of tranche payments received to date</b> (accumulative)	351,118								
<b>Balance of funds to be received</b> (row 1 minus row 2)	369,941								
<b>Value of expenditures shown in your financial system</b>	131,227								
<b>Value of commitments shown in your financial system</b>	131,025								
<b>Total expenditures to date</b> (sum of rows 4 and 5)	262,252								
<b>Balance held against tranche payments received to date</b> (Row 2 minus row 6)	88,867								
<b>Balance held against total contracted budget</b> (sum of rows 3 and 7)	458,808								

#### 3.2 Narrative summary analysis of project cash flow (any comments regarding unexpected financial management issues that have arisen)

- *If matching funds are being provided, please note the status of the provision and if this is affecting the project in any way*

Not applicable

- *If substantial commitments or balances are shown, please explain here:*

Payments to partners: ILRI - 42,392 USD and ICRAF 88,633

- *If any alterations have been made in budget line items (see clause 8.03(c)) please state here:*

Not applicable

3.3 Status report of partner disbursements and expenditures against the agreed MOU (*Please provide a brief narrative statement regarding the financial status of each partner's expenditures. Are they in line with your expectations in the MOU, and if not what actions are being taken, and how is this affecting the research, if at all.*)

This is a first instalment being provided to the partners on signing of the MoUs. However, as evident during the project inception workshops, project launching and planning meetings, lot of activities started much in advance and the funds are properly utilised.

Bnsharma

Date: October 15, 2010

Project leader: Bharat Sharma

Reminders:

1. Please attach documents requested in Question 2, OR provide URLs (as detailed above)
2. Please provide an invoice requesting the next payment.
3. Please provide a financial statement signed by your Chief Financial Officer in support of the figures provided above for this report period.

*Please note that you will need to provide an invoice for disbursement of next payment, and that an internally generated financial statement should be produced (Standard Clause 8.06)*